

# Angelicity and compactness in spaces $C(X)$

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**Introduction.** In the theory of lcs  $E$  two essential questions may arise:

- 1 (weak) angelicity of  $E$ .
- 2 metrizability of (weakly) compact sets.

Question (1) refers to a very useful concept of angelic spaces (introduced by **Fremlin**) for which several variants of compactness coincide. A number of results, including a remarkable and applicable **Orihuela's** theorem for spaces  $C_p(X)$  over web-compact spaces  $X$  (providing angelicity) will be presented.

**How to apply angelicity?.....**

Positive answers for (2) were covered by results of

- 1 **Pfister** ( $(DF)$ -spaces),
- 2 **Valdivia** (dual metric spaces),
- 3 **Cascales-Orihuela** ( $(LF)$ -spaces and class  $\mathfrak{B}$ ).

We characterize analytic sets in  $C_p(X)$ ; this leads to generalizations of the earlier results about part (2). The concepts of **Eberlein**, **Talagrand**, **Gul'ko** and **Corson** compact sets will be discussed in the context of (1) and (2).

$X, Y, \dots$ - **completely regular Hausdorff spaces**.  $E, F, \dots$ - **locally convex spaces**.  $C_p(X)$  (resp  $C_c(X)$ ) **the space of all continuous real functions on  $X$  with the pointwise (compact-open) topology**.

## A bit of the history.

- 1 Šmulian (and Phillips) proved that any relatively compact set is relatively sequentially compact for the weak topology of a Banach space and the both concepts coincide if the weak- $*$ -topology is separable.
- 2 The latter fact extended by Dieudonne and Schwartz for submetrizable lcs. The converse to Šmulian theorem was discovered by Eberlein.
- 3 Grothendieck proved the above for  $C_p(X)$ , compact  $X$ .
- 4 This line of research (results) continued by Fremlin, Pryce, De Wilde allowed Floret to present a general version of the Eberlein-Šmulian theorem.

Nevertheless, theorems said nothing about metrizability of compact sets.

## Angelic spaces, Fremlin's angelic lemma, Orihuela's theorem for spaces $C_p(X)$

### Theorem 1 (Fremlin)

Let  $X$  and  $Y$  be topological spaces, where  $X$  regular, and let  $\Phi : X \rightarrow Y$  be an injective and continuous map. If  $A \subset X$  is relatively countably compact, and if for each  $B \subset \Phi(A)$  and  $y \in \overline{B}$  there exists a sequence  $(y_n)_n$  in  $B$  converging to  $y$ , then  $\Phi(\overline{A})$  is closed and  $\Phi|_{\overline{A}}$  is a homeomorphism.

$X$  is called *angelic* if every relatively countably compact set  $K$  in  $X$  is relatively compact, and for every  $x \in \overline{K}$  there exists a sequence in  $K$  converging to  $x$  [Fremlin].

- 1 If  $X$  is an angelic space, then  $X$  endowed with any stronger regular topology is also angelic.

In angelic spaces the (relatively) countably compact, (relatively) compact, (relatively) sequentially compact sets are the same. **Classical examples:** spaces  $C_p(X)$  with compact  $X$ , Banach spaces  $E$  with the weak topology.


### Corollary 2 (Šmulian)

*Let  $E$  be a lcs such that  $(E', \sigma(E', E))$  is separable. Then for the space  $(E, \sigma(E, E'))$  the following conditions hold.*

- 1 *(relatively) compact, (relatively) countably compact, (relatively) sequentially compact sets are the same.*
- 2 *If  $A$  is relatively (countably) compact and  $x \in \overline{A}^\sigma$ , there exists a sequence in  $A$  converging to  $x$ .*
- 3 *Every relatively countably compact set that is sequentially closed is compact.*

The following concept [**Orihuela**] leads to generalizations of **Grothendieck, Fremlin, Pryce, De Wilde, Floret** results.

- 1  $X$  is **web-compact** if there exists a non-empty subset  $\Sigma$  of  $\mathbb{N}^{\mathbb{N}}$  and a family  $\{A_\alpha : \alpha \in \Sigma\}$  of subsets of  $X$  such that, if  $C_{n_1, n_2, \dots, n_k} := \bigcup \{A_\beta : \beta = (m_k) \in \Sigma, m_j = n_j, j = 1, 2, \dots, k\}$  for any  $\alpha = (n_k) \in \Sigma$ , the following hold:  
**(i)**  $\overline{\bigcup \{A_\alpha : \alpha \in \Sigma\}} = X$ . **(ii)** If  $\alpha = (n_k) \in \Sigma$  and  $x_k \in C_{n_1, n_2, \dots, n_k}$  for all  $k \in \mathbb{N}$ , then  $(x_k)_k$  has a cluster point in  $X$ .
- 2 Separable spaces are web-compact.
- 3 Spaces containing dense **Lindelöf  $\Sigma$ -spaces** (particularly **K-analytic spaces**) and also quasi-Suslin spaces are web-compact.

The weak\*-dual of "almost all" important classes of spaces in functional analysis are web-compact (below + applications)! 

$X$  is *K-analytic* if it is the image under an upper semi-continuous compact-valued map  $T$  defined on  $\mathbb{N}^{\mathbb{N}}$ . If  $T$  is defined on  $\emptyset \neq \Omega \subset \mathbb{N}^{\mathbb{N}}$ ,  $X$  is called a *Lindelöf  $\Sigma$ -space*.  $X$  is *analytic* if it is a continuous image of  $\mathbb{N}^{\mathbb{N}}$ .

- 1 Above spaces are very applicable! Closed with resp. to countable operations, etc.....
- 2 If  $C_p(X)$  is angelic,  $C_p(X, Z)$  is angelic for any metric space  $Z$  [Fremlin].
- 3 If  $X = \overline{\bigcup_n K_n}$ ,  $K_n$  are relatively countably compact, then  $C_p(X)$  is angelic [Eberlein-Šmulian-Floret].

The next covers results of Grothendieck, Fremlin, Pryce, De Wilde, Floret.

### Theorem 3 (Orihuela)

*For a web-compact space  $X$  the space  $C_p(X)$  is angelic.*



## Corollary 4

*Let  $E$  be a lcs such that  $(E', \sigma(E', E))$  is web-compact. Then  $(E, \sigma(E, E'))$  is angelic. Consequently, this holds for any metrizable lcs  $E$ ... and more general cases.*

## More about angelic spaces $C_p(X)$ in terms of resolutions.

We need the following concept: A family  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  of sets covering a space  $X$  is called a **resolution** if  $A_\alpha \subset A_\beta$  whenever  $\alpha \leq \beta$ .

- 1 If  $A_\alpha$  are compact, countably compact, relatively countably compact, etc.,  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is called a **compact, countably compact, relatively countably compact resolution**, respectively. A resolution in a lcs consisting of bounded sets is called **bounded**.

- ② Any  $K$ -analytic space admits a compact resolution; the converse fails [**Talagrand**].
- ③ An angelic space  $X$  is  $K$ -analytic iff  $X$  has a compact resolution [**Cascales**].

Angelicity seems to be a good concept to study (and simplify solutions of some of) the following problems (some still open):

### Problem 5

*Characterize  $C_p(X)$  as having a  $\sigma$ -compact covering (resp.  $\sigma$ -bounded covering, .....bounded resolution, compact resolution,....) by a natural topological property of  $C_p(X)$  or  $X$ .*

It turns out that spaces  $C_p(X)$  with a bounded resolution are already angelic! Hence  $C_p(X)$  admitting a stronger metrizable vector topology is angelic.

## Proposition 6 (Ferrando-Kąkol)

The following conditions are equivalent:

- 1  $C_p(X)$  admits a bounded resolution.
- 2  $C_p(X)$  is  $K$ -analytic-framed in  $\mathbb{R}^X$  and  $C_p(X)$  is angelic.
- 3  $C_p(X)$  is  $K$ -analytic-framed in  $\mathbb{R}^X$ , i.e. there exists a  $K$ -analytic space  $Z$  such that  $C_p(X) \subset Z \subset \mathbb{R}^X$ .

## Theorem 7 (Calbrix-Arkhangell'ski)

Let  $X$  be a cosmic space (in particular, a separable metrizable space). The following are equivalent:

- 1  $X$  is  $\sigma$ -compact.
- 2  $C_p(X)$  is  $K$ -analytic-framed in  $\mathbb{R}^X$ .
- 3  $C_p(X)$  is analytic-framed in  $\mathbb{R}^X$ .

## How to apply angelicity?

### Corollary 8 (Talagrand)

*Let  $X$  be a compact space. Then  $C_p(X)$  is  $K$ -analytic iff  $C_c(X)$  is weakly  $K$ -analytic.*

### Proof.

$B$  closed unit ball in  $C(X)$ ,  $\{K_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  a compact resolution in  $C_p(X)$ . Show:  $\{B \cap K_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  a compact resolution in  $B$  in the weak topology of  $C_c(X)$ . Fix  $\alpha \in \mathbb{N}^{\mathbb{N}}$ . Since  $C_p(X)$  is angelic,  $B \cap K_\alpha$  is sequentially compact in pointwise topology. By Lebesgue's theorem  $B \cap K_\alpha$  is weakly sequentially compact in  $C_c(X)$ ; hence compact (by weak angelicity of  $C_c(X)$ ). Since  $B = \bigcup \{B \cap K_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  and  $B$  is weakly angelic in  $C_c(X)$ ,  $B$  is weakly  $K$ -analytic in  $C_c(X)$ . Hence  $C_c(X) = \bigcup_n nB$  is weakly  $K$ -analytic. □

"More delicate" application yields

### Corollary 9

*Let  $X$  be a locally compact pseudocompact space. Then  $C_p(X)$  is  $K$ -analytic iff  $C_c(X)$  is weakly  $K$ -analytic.*

Fact (3) and above ((1) $\Rightarrow$ (2)) can provide simpler proofs of

### Theorem 10 (Tkachuk)

*$C_p(X)$  admits a compact resolution iff it is  $K$ -analytic (such spaces are Lindelöf).*

### Theorem 11 (Velichko-Tkachuk-Shakhmatov)

*$C_p(X)$  is covered by a sequence of compact (relatively countably compact) sets iff  $X$  is finite.*

## Class $\mathfrak{G}$ , angelicity, Amir-Lindenstrauss theorem for spaces in $\mathfrak{G}$ .

First motivating examples:

- 1  $E$  is an **(LM)-space** of metrizable lcs  $E_n$  with a countable basis of absolutely convex neighbourhoods of zero  $(U_k^n)_k$  in each  $E_n$  such that  $U_{k+1}^n \subset U_k^n$ ,  $k, n \in \mathbb{N}$ . For  $\alpha = (n_k) \in \mathbb{N}^{\mathbb{N}}$  set  $K_\alpha := \bigcap_k (U_{n_k}^k)^\circ$ . The family  $\{K_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a **relatively countably compact resolution** in  $(E', \sigma(E', E))$  and each sequence in any  $K_\alpha$  is equicontinuous.
- 2  $E$  is a **(DF)-space** and  $(S_n)_n$  is a fundamental sequence of bounded sets. For  $\alpha = (n_k) \in \mathbb{N}^{\mathbb{N}}$  set  $K_\alpha := \bigcap_k n_k S_k^\circ$ . Then  $\{K_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is as above.

- ③ **The strong dual  $(E', \beta(E', E))$  of a locally complete (LF)-space  $E$ .** Then  $E$  has a resolution  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  of Banach discs and each bounded set in  $E$  is contained in some  $A_\alpha$ . For  $\alpha \in \mathbb{N}^{\mathbb{N}}$  set  $K_\alpha := A_\alpha^{\circ\circ}$  in  $E''$  and  $\{K_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  in  $(E'', \sigma(E'', E'))$  is as above. In particular this holds for the space of test functions  $E := \mathcal{D}(\Omega)$  over  $\Omega \subset \mathbb{R}^n$  an open set, as well as for the space  $A(\Omega)$  of real analytic functions on  $\Omega$ .

The common topological structure that appears in the dual  $(E', \sigma(E', E))$  of this examples (and results around problems (1) and (2) from Introduction) motivated Cascales and Orihuela to introduce the class  $\mathfrak{G}$  of lcs.

A lcs  $E$  belongs to class  $\mathfrak{G}$  if  $F := (E', \sigma(E', E))$  admits a **resolution**  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  such that each sequence in any  $A_\alpha$  is **equicontinuous**. Class  $\mathfrak{G}$  enjoys good permanence properties.

### First Observations and Facts for $E \in \mathfrak{G}$ :

- 1 Each  $A_\alpha$  is  $\sigma(E', E)$ -relatively countably compact.
- 2  $F$  is **web-compact**, so  $C_p(F)$  is angelic. Hence  $(E, \sigma(E, E'))(\subset C_p(F))$  is angelic!
- 3 Weakly compact  $A \subset E$  is **Fréchet-Urysohn**, i.e. if  $B \subset A$  and  $x \in \overline{B}$ , there is a sequence in  $B$  converging to  $x$ .
- 4  $C_p(X) \in \mathfrak{G}$  iff  $X$  is countable [**Cascales-Kąkol-Saxon**].



Next result is motivated by the following general problem due to **Corson** (still open):

### Problem 12

*Let  $E$  be a weakly Lindelöf Banach space. Is it true that the unit ball in  $E'$  in  $\sigma(E', E)$  has countable tightness?*

Seems to be more natural to consider property (C) of Corson rather than the weak Lindelöf itself (**Pol**). Another variant:

### Problem 13

*Let  $X$  be Lindelöf. Is it true that every compact subset in  $C_p(X)$  has countable tightness?*

Arkhangell'ski answered in positive with Proper Forcing Axiom (**Corson, Pol, Kunen, Plebanek, Frankiewicz, Nardzewski, Fremlin**). Nothing more seems to be known.

The following "inverse case" is easier!

### Theorem 14 (Cascales-Kąkol-Orihuela-Saxon)

The following assertions are equivalent for  $E \in \mathfrak{G}$ :

- 1  $(E, \sigma(E, E'))$  has countable tightness.
- 2  $(E', \sigma(E', E))$  is Lindelöf.
- 3  $(E', \sigma(E', E))^n$  is Lindelöf for each  $n \in \mathbb{N}$ .
- 4  $(E', \sigma(E', E))$  is  $K$ -analytic.

If  $E \in \mathfrak{G}$  is quasibarrelled, both  $E$  and  $(E, \sigma(E, E'))$  have countable tightness.

If  $E \in \mathfrak{G}$  and  $(E, \sigma(E, E'))$  is a Lindelöf  $\Sigma$ -space,  $\text{dens}(E, \sigma(E, E')) = \text{dens}(E', \sigma(E', E))$ .

There is a another way (strategy) to study problems (1) and (2) (Introduction) in the frame of the "**descriptive theory of compactness**"– Theory about **Eberlein, Talagrand, Gul'ko, Corson**..... compact spaces.

*"It turns out that compact parts of  $C_p(X)$ , where  $X$  is compact, have much better convergence properties than  $C_p(X)$  itself"* [Arkhangell'ski].

**Corson** and **Lindenstrauss** conjectured (1966) that every weakly compact set in a Banach space is homeomorphic to a weakly compact set in  $c_0(\Gamma)$  over suitable  $\Gamma$ . THIS WAS THE BEGINNING.....

## Elementary facts about Eberlein, Talagrand, Gul'ko Corson compacta.

- 1 Compact  $K$  is *Eberlein compact* if  $K$  is homeomorphic to a weakly compact subset of a Banach space. [A-L]
- 2  $K$  is Eberlein compact iff  $C(K)$  is (WCG) iff  $K$  is homeomorphic to a weakly compact set in  $c_0(\Gamma)$  for some  $\Gamma$  iff  $(B_{C(K)'}, w^*)$  is Eberlein compact [A-L].
- 3 A compact  $K$  is *Talagrand compact* if  $C(K)$  is a weakly  $K$ -analytic Banach space. Hence  $K$  is Talagrand compact iff  $C_p(K)$  is  $K$ -analytic.

- 4  $K$  is Talagrand compact iff  $K$  is homeomorphic to a weakly compact set in a lcs in class  $\mathfrak{G}$  [Cascales-Orihuela].
- 5 Compact  $K$  such that  $C(K)$  is (WCG) is Talagrand compact [Talagrand].
- 6 **Every dyadic Eberlein compact is metrizable** (since every compact dyadic space with countable tightness is metrizable [Engelking]). Hence every Eberlein compact group is metrizable.
- 7 **Eberlein compact satisfying the countably chain condition (ccc) i.e. every pairwise disjoint collection of open sets is countable, is second countable (Rosenthal).**

- 8 Compact  $K$  is Corson compact if  $K$  is homeomorphic to a compact subset of a  $\Sigma$ -product  $\Sigma \mathbb{R}^\tau$  for some cardinal  $\tau$ .
- 9  $K$  Corson compact, then  $K$  is Fréchet-Urysohn and  $C_p(K)$  is Lindelöf. The converse fails [Gu'iko-Alster-Pol].
- 10  $K$  compact and  $C_p(X)$  is a Lindelöf  $\Sigma$ -space, then  $X$  is Corson compact [Gul'ko].
- 11  $C_p(X)$  need not be Lindelöf  $\Sigma$  if  $X$  is Corson compact [Sokolov].
- 12 Compact  $K$  is Gul'ko compact if  $C_p(X)$  is a Lindelöf  $\Sigma$ -space.
- 13 Eberlein (Gul'ko) compact  $K$  contains a dense  $G_\delta$  metrizable subspace [Namioka (Gruenhage)]. This fails for Corson compact spaces.

- 14  $C(X)$  is weakly Lindelöf for Corson compact  $X$  iff every Radon measure on  $X$  is separable [A-M-N]. **Proof depends on two facts:**  $C_p(X)$  is Lindelöf for Corson compact  $X$ . If  $X$  is compact and every Radon probability measure on  $X$  has separable support,  $P(X)$ , the space of all Radon probabilistic measure on  $X$  is Corson compact.
- 15 Let  $A$  be the one-point compactification of the discrete space of cardinality  $\mathfrak{c} = 2^{\aleph_0}$ .  $C_p(A)$  contains a compact subset  $K$  homeomorphic with  $A$ .  $K$  is **nonmetrizable Fréchet-Urysohn Eberlein compact**..... and cannot be included in any separable part of any  $C_p(X)$  over web-compact  $X$ .  $[0, \omega_1]$  is not Eberlein compact (as non-angelic)

Eberlein compact  $\Rightarrow$  Talagrand compact  $\Rightarrow$  Gul'ko compact  
 $\Rightarrow$  Corson compact.....  $\Rightarrow$ .....(and more!)

**Metrizable (pre)compact sets in spaces  $C_p(X)$ .**

**Possible approach:** Let  $K \subset L \subset C_p(X)$ ,  $L$  separable,  $K$  compact and  $X$  possibly nonseparable. If there exists separable completely regular Hausdorff  $Y$  with  $L \subset C_p(Y)$ , then  $K$  is metrizable. EASY! For many spaces  $X$  this is possible!

**Proposition 15 (Kąkol-Lopez-Pellicer-Munoz)**

*Let  $vX$  or  $C_p(X)$  be a Lindelöf  $\Sigma$ -space. If  $L \subset C_p(X)$  is separable, there exists a separable submetrizable Lindelöf  $\Sigma$ -space  $Y$  such that  $L$  is embedded into  $C_p(Y)$ .*

**Proposition 16 (K-L-P-M)**

*Let  $vX$  be Lindelöf  $\Sigma$ . Then  $Y \subset C_p(X)$  is analytic iff it has a compact resolution and is contained in a separable set of  $C_p(X)$ .*



## Corollary 17

$C_p(X)$  is analytic iff  $C_p(X)$  is separable and admits a compact resolution (iff  $C_p(X)$  is separable and  $K$ -analytic).

Previous fact and (to prove): If  $C_p(X)$  has a compact resolution, then  $vX$  is Lindelöf  $\Sigma$ .

## Corollary 18 (Cascales-Orihuela)

Let  $X$  be web-compact. Then a compact set  $K \subset C_p(X)$  is metrizable iff it is contained in a separable subset of  $C_p(X)$ .

For (less technical) applications of the former results for class  $\mathfrak{G}$  note the following useful simple fact:

- 1 If  $E$  is a lcs then  $(E, \sigma(E, E')) \subset C_p(E', \sigma(E', E))$

## Corollary 19

A subset  $Y$  of a lcs  $E$  in the class  $\mathfrak{G}$  is  $\sigma(E, E')$ -analytic iff  $Y$  has a  $\sigma(E, E')$ -compact resolution and is contained in a  $\sigma(E, E')$ -separable subset.

### Idea of the proof.

- 1  $Z := (E', \sigma(E', E))$  is quasi-Suslin (hard part!). Hence, there exists a quasi-Suslin map  $T : \mathbb{N}^{\mathbb{N}} \rightarrow 2^Z$ ,  $\alpha \mapsto T(\alpha)$ .
- 2  $vZ$  is  $K$ -analytic: Since every  $T(\alpha)$  is countably compact, its closure  $\overline{T(\alpha)}$  in  $vZ$  is compact. Then  $\alpha \mapsto \overline{T(\alpha)}$  is an usco map, so  $W := \bigcup \overline{T(\alpha)}$  is  $K$ -analytic.
- 3 Since  $Z \subset W \subset vZ$ , we have  $W = vW = vZ$  is  $K$ -analytic. As  $(E, \sigma(E, E')) \subset C_p(Z)$ , apply Corollary 16.

As compact analytic spaces are metrizable, we summarize:

## Corollary 20

Let  $E$  be a lcs in class  $\mathfrak{G}$  and  $K \subset E$ .

- 1 Weakly compact  $K$  is  $\sigma(E, E')$ -Talagrand compact.
- 2 Weakly compact  $K$  is  $\sigma(E, E')$ -Fréchet-Urysohn.
- 3 Weakly compact  $K$  contains a dense  $G_\delta$ -metrizable subspace.
- 4 Precompact  $K$  is metrizable in  $E$ .
- 5  $\sigma(E, E')$ -compact  $K$  is  $\sigma(E, E')$ -metrizable iff  $K$  is contained in a  $\sigma(E, E')$ -separable subset of  $E$ . Hence the closure of any countable subset in Talagrand compact is metrizable.
- 6  $(E, \sigma(E, E'))$  is  $K$ -analytic (analytic) iff  $(E, \sigma(E, E'))$  has a compact resolution (and is separable).

## Problem 21

- 1 Let  $X$  be Lindelöf such that  $C_p(X)$  is  $K$ -analytic. Is  $X$   $\sigma$ -compact? (**Calbrix-Arkhangell'ski**)
- 2 Characterize  $X$  as being analytic by a natural topological property of  $C_p(X)$  (**Calbrix-Arkhangell'ski**)
- 3 Characterize  $C_p(X)$  as having a bounded resolution in term of  $X$ .
- 4 Let  $K$  be a compact space. Is it true that for  $C(K)$  with Corson property (C) the space  $P(K)$  has countable tightness? (**Corson-Pol**)
- 5 Is the product of weakly Lindelöf Banach spaces by itself a weakly Lindelöf Banach space? Recall that finite products of Banach spaces with property (C) have property (C).

We provide a short proof of part (4): **Every precompact set  $K$  in a lcs in  $\mathfrak{G}$  is metrizable.**

**Skech of Proof.** Since the completion of  $E$  in class belongs  $\mathfrak{G}$ , we assume that  $E$  is complete and  $K$  is compact. Let  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  be a  $\mathfrak{G}$ -representation of  $E'$ . By  $\tau$  we denote the topology of  $E$ . We say that a subset  $M$  of  $E'$  is  $K^0$ -separated if  $(a + K^0) \cap M = \{a\}$  for each  $a \in M$ . By Zorn's lemma there exists a maximal  $K^0$ -separated subset  $M_1$  of  $E'$ . Clearly  $M_1 + K^0 = E'$ . **Note that  $M_1$  is countable.** Indeed, otherwise, since  $E' = \{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  and  $A_\alpha \subset A_\beta$  whenever  $\alpha \leq \beta$ , for  $\alpha, \beta$  in  $\mathbb{N}^{\mathbb{N}}$ , we choose (to prove!) a countable infinite subset  $P$  of  $M_1$  and  $\gamma \in \mathbb{N}^{\mathbb{N}}$  such that  $P \subset A_\gamma$ .

Since  $E$  belongs to  $\mathfrak{G}$ ,  $P$  is equicontinuous, so  $P$  is precompact in the topology of uniform convergence on the  $\tau$ -precompact subsets of  $E$ . Therefore there exists a finite set  $\{a_i : 1 \leq i \leq k\} \subset P$  such that  $P \subset \bigcup \{a_i + K^0 : 1 \leq i \leq k\}$ . Clearly there exists  $1 \leq j \leq k$  such that the set  $(a_j + K^0) \cap P$  is infinite, contradicting the hypothesis that  $M_1 (\supset P)$  is  $K^0$ -separated. Let  $M_n$  be a maximal subset of  $E'$  that it is  $n^{-1}K^0$ -separated, for each  $n \in \mathbb{N}$ . The set  $M_0 := \bigcup \{M_n : n \in \mathbb{N}\}$  is countable. Let  $\tau_{M_0}$  be the weakest topology on  $K$  that makes continuous the functions of  $M_0$ . If  $x \neq y$  are two points of  $K$  then there exist  $g \in E'$  and  $n \in \mathbb{N}$  such that  $|g(x) - g(y)| > 3n^{-1}$ . Since  $E' = M_n + n^{-1}K^0$ , there exists  $f \in M_n (\subset M_0)$  such that  $g \in f + n^{-1}K^0$ . Hence  $|f(x) - f(y)| > n^{-1}$ . Therefore  $(K, \tau_{M_0})$  is metrizable, so  $K$  is metrizable.

Many spaces in functional analysis enjoy the following property:

- 1 A lcs is said to have a  $\mathfrak{G}$ -base if  $E$  has a basis  $\{U_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  of neighbourhoods of zero such that  $U_\alpha \subset U_\beta$  if  $\alpha \geq \beta$ .
- 2 Every space with a  $\mathfrak{G}$ -base is in class  $\mathfrak{G}$ .
- 3 Every quasibarrelled lcs in class  $\mathfrak{G}$  has a  $\mathfrak{G}$ -base (**Cascales–Kakol–Saxon**).

### Theorem 22

*Every lcs  $E$  with a  $\mathfrak{G}$ -base  $\{U_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  has all precompact sets  $K$  metrizable.*

*Proof.* We may assume that  $K$  is compact and absolutely convex. Let  $\mathcal{T}_{pc}$  be the topology on  $E'$  of the uniform convergence on the precompact subsets of  $E$ . Each polar set  $U_\alpha^0$  is equicontinuous and  $\sigma(E', E)$ -compact, so  $\{U_\alpha^0 : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a compact covering of  $(E', \mathcal{T}_{pc})$ . The map

$$\varphi : (E', \mathcal{T}_{pc}) \rightarrow (\varphi(E'), \|\cdot\|_\infty),$$

defined by  $\varphi(f) := f|_K$  is continuous and  $\{\varphi(U_\alpha^0) : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a compact covering of the normed space  $(\varphi(E'), \|\cdot\|_\infty)$ , where  $\|\cdot\|_\infty$  is the supremum norm on  $K$ . The space  $(\varphi(E'), \|\cdot\|_\infty)$  is analytic. Let  $F$  be a countable subset of  $E'$  such that  $\varphi(F)$  is dense  $(\varphi(E'), \|\cdot\|_\infty)$ .



If  $x \neq y$  in  $K$ , there exists  $g \in E'$  such that  $|g(x) - g(y)| > 3$ . Then there exists  $f \in F$  such that  $\|\varphi(g) - \varphi(f)\|_\infty < 1$ . Hence  $|f(x) - f(y)| > 1$ . Hence the restriction  $\sigma(E, F)|_K$  is metrizable (this restriction is the initial topology defined by  $F$  on  $K$ ). By compactness the original topology of  $K$  is the topology  $\sigma(E, F)|_K$ . Hence  $K$  is metrizable.

- 1 If  $X$  has a compact resolution swallowing compact sets, then  $C_c(X)$  has a  $\mathfrak{G}$ -base.
- 2 If  $X$  is a  $\mu$ -space and  $C_c(X)$  has a  $\mathfrak{G}$ -base, then  $X$  has a compact resolution swallowing compact sets.
- 3 A second countable  $X$  is completely metrizable iff  $X$  has a compact resolution swallowing compact sets (**Christensen**).

- 1 It is well known that if  $X$  **almost**  $\sigma$ -**compact** (i.e.  $X$  has a dense  $\sigma$ -compact subset), then  $C_c(X)$  admits a weaker metric topology. Hence any compact set in such  $C_c(X)$  is metrizable. The following extends this fact.
- 2 If  $X$  be a space having a compact resolution swallowing compact sets. Then every precompact set in  $C_c(X)$  is metrizable. EVEN more general:
- 3 If  $X$  has a **dense subspace covered by a compact resolution**, then any precompact set in  $C_c(X)$  is metrizable.

The best result related with Corollary 20 might be the following

### Theorem 23 (Ferrando–Kakol-Lopez-Pellicer)

*Precompact sets in a lcs  $E$  are metrizable iff  $E'$  endowed with the topology  $\tau_p$  of uniform convergence on the precompact sets of  $E$  is trans-separable.*

- 1 A lcs  $E$  is trans-separable iff  $E$  is isomorphic to subset of a product of separable metrizable spaces (**Pfister**).
- 2 Part (4) from Corollary 20 follows from above theorem:  
(i) If  $E \in \mathfrak{G}$ , then  $(E', \tau_p)$  is quasi-Suslin. (ii) Every quasi-Suslin space is web-compact. (iii) Every web-compact lcs is trans-separable.