

# Rainwater sets and weak $K$ -analyticity in $C^b(X)$

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# Outline

- 1 Preliminaries
- 2 Some topological properties of Rainwater sets for  $C^b(X)$
- 3 Rainwater sets and weak  $K$ -analyticity in  $C^b(X)$

# Outline

## 1 Preliminaries

## Notations and well known facts

$X$  is a completely regular (Hausdorff) space,  $C^b(X)$  the linear subspace of  $C(X)$  consisting of all those *bounded* functions.

We identify  $X$  and  $\beta X$  with its copies  $\{\delta_x : x \in X\}$  and  $\{\delta_x : x \in X\}$  in  $B_{C^b(X)^*}$  (weak\*).

$C^b(X)$ , and  $C(X)$  for  $X$  pseudocompact, have the  $\|\cdot\|_\infty$ .  
 $f \mapsto f^\beta$  is a linear isometry from  $C^b(X)$  onto  $C(\beta X)$ .

Let  $x \in \beta X$ . Then  $x \in \nu X$ , the Hewitt realcompactification of  $X$ ,

- iff each  $f \in C(X)$  admits a continuous extension to  $X \cup \{x\}$ ,
- iff  $V \cap X \neq \emptyset$  for each  $\beta X$ -zero  $V$  containing  $x$ .

Hence the map  $f \mapsto f^\nu$  is a bijection from  $C(X)$  onto  $C(\nu X)$  and  $X$  is  $G_\delta$ -dense in  $\nu X$ ,

- i. e., each  $\nu X$ -zero (or nonempty  $G_\delta$ -set of  $\nu X$ ) meets  $X$ •

$X$  pseudocompact means

$C(X) = C^b(X) \iff \nu X = \beta X \iff X$  is  $G_\delta$ -dense in  $\beta X$ .

## A sequentially continuous map

If  $Y \subset C^b(X)^*$  separates the functions of  $C^b(X)$ , then  $\sigma_Y$  is the topology of the pointwise convergence on  $Y$  on  $C^b(X)$  (or in  $C(X)$ ).

If  $Y = X$ , then  $\sigma_Y = \tau_p$ , and  $(C(X), \sigma_X) = C_p(X)$ .

### Claim

*If  $Y$  is a  $G_\delta$ -dense subset of  $Z$  then the immersion  $(C(Z), \sigma_Y)$  onto  $C_p(Z)$  is sequentially continuous*

*(They have the same (rel) sequentially compact subsets).*

*If moreover  $Z \subset \beta Y$ , i.e.,  $Z \subset \nu Y$ , then  $f \mapsto f^\nu|_Z$  is a linear isomorphism from  $C(Y)$  onto  $C(Z)$ .*

### Proof.

If  $Z_n := \{z \in Z : f_n(z) = f_n(x)\}$  then  $\exists z_x \in Y \cap \bigcap_{n=0}^{\infty} Z_n$ .

Each  $C(Y)$  is embedded in  $C(\nu Y)$ . □

# Rainwater sets of a Banach space $E$

## Definition

A subset  $X$  of  $B_{E^*}$  is a *Rainwater set* if in  $E$  every *bounded* sequence of  $E$  that converges pointwise on  $X$  converges pointwise on  $B_{E^*}$  (i.e., converges weakly).

- *The set of extreme points of the closed dual unit ball is a Rainwater set for  $E$ .*

This classic Rainwater's theorem follows from Choquet's integral representation theorem.

- A subset  $J$  of  $B_{E^*}$  such that each element of  $E$  attains its maximum in  $B_{E^*}$  in  $X$  is a *James boundary*.  
From Simons lemma follows that *each James boundary is a Rainwater set for  $E$ .*

# The case $C(X)$ , with $X$ compact

## Theorem (Rainwater's theorem for $C(X)$ )

*Each compact  $X$  is a Rainwater set for  $C(X)$ .*

### Proof.

By Arens-Kelly theorem,  $\text{Ext } B_{C(X)^*} = \{\pm \delta_x : x \in X\}$ .

Hence  $\{\pm \delta_x : x \in X\}$ , and also  $X$ , is a  $C(X)$ -Rainwater set  $\square$

### Other proof.

By Riesz representation theorem,  $C(X)^* = rca(\mathcal{B}(X))$ .

If  $\{f_n\}_{n=1}^\infty$  is  $C(X)$  bounded and  $f_n(x) \rightarrow f(x)$ ,  $\forall x \in X$ ,

then by Lebesgue dominated convergence theorem,

$\langle f_n, \mu \rangle \rightarrow \langle f, \mu \rangle$  for every  $\mu \in C(X)^*$ , i.e.,  $f_n \rightarrow f$  weakly.  $\square$

# Outline

- 2 Some topological properties of Rainwater sets for  $C^b(X)$ 
  - Pseudocompactness
  - $G_\delta$ -density



# Pseudocompactness and Rainwater sets

## Proposition

$X$  is a Rainwater set for  $C^b(X) \iff X$  is pseudocompact.

## Proof.

(Known) If  $X$  is pseudocompact,  $\{f_n\}_{n=1}^\infty$  is bounded in  $C(X)$  and  $f_n(x) \rightarrow f(x)$  for every  $x \in X$  then for each  $y \in vX = \beta X$

$$f_n^\beta(y) = f_n^v(y) \rightarrow (\text{by Claim}) f^v(y) = f^\beta(y).$$

$\{f_n^\beta\}_{n=1}^\infty$  is bounded in  $C(\beta X)$ . By Rainwater Th. for  $C(\beta X)$

$$\langle f_n^\beta, \mu \rangle \rightarrow \langle f^\beta, \mu \rangle \text{ for each } \mu \in C(\beta X)^* = C^b(X)^*.$$

Thus  $X$  is a Rainwater set for  $C^b(X)$ . □

## Second part of the proof

### Proof, conversely.

Suppose that  $X$  is not pseudocompact. For each  $y \in \beta X \setminus vX$  there exists  $h_y \in C(\beta X)$ ,  $h_y(y) = 0$ ,  $h_y(vX) \subset ]0, 1]$ . Now define

- ①  $g_y \in C(\beta X)$  by  $g_y(z) = (1 + h_y(z))^{-1}$ ,  $z \in \beta X$ .
- ②  $f_n := (g_y)^n$ .

Clearly  $g_y(vX) \subset ]0, 1[$  and  $g_y(y) = 1$ , hence from

- $\|f_n|_X\|_\infty \leq 1$ ,
- $f_n(x) = g_y(x)^n \rightarrow 0$ ,  $\forall x \in X$ , and as  $\forall n \in \mathbb{N}$
- $\langle f_n|_X, \delta_y \rangle = f_n(y) = g_y(y)^n = 1$ ,  $(f_n)_n \not\rightarrow 0$  (weak),

we conclude that  $X$  is not a Rainwater set for  $C^b(X)$ . □

# Pseudocompactness and Rainwater sets II

## Proposition

If  $Y$  is a pseudocompact subset of  $B_{C^b(X)^*}$  (weak\*) that contains  $X$ , then  $Y$  is a Rainwater set for  $C^b(X)$ .

## Proof.

Let  $\{u_n\}_{n=0}^\infty$  be  $C^b(X)$ -bounded and  $\langle u_n, y \rangle \rightarrow \langle u_0, y \rangle, \forall y \in Y$ .  
If  $T : C^b(X) \rightarrow C(Y)$  is defined by  $(Tu)(y) := \langle u, y \rangle$ , then

- $\{Tu_n\}_{n=0}^\infty$  is  $C(Y)$ -bounded and  $T^*(C(Y)^*) = C^b(X)^*$ ,
- $(Tu_n)(y) \rightarrow (Tu_0)(y), \forall y \in Y$ , hence (pseudocompactness)

$$\langle Tu_n, \mu \rangle \rightarrow \langle Tu_0, \mu \rangle, \forall \mu \in C(Y)^*,$$

hence  $\langle u_n, T^*\mu \rangle \rightarrow \langle u_0, T^*\mu \rangle, \forall T^*\mu \in T^*(C(Y)^*) = C^b(X)^*$ .  
Hence  $u_n \rightarrow u_0$  weakly, i.e.,  $Y$  is a Rainwater set for  $C^b(X)$ .  $\square$

## Examples

### Example

An infinite discrete space  $I$  is not Rainwater for  $C^b(I) = \ell_\infty(I)$ .

### Example

If  $D$  is a dense subset of  $\beta N \setminus N$  of cardinality  $|D| \leq c$ , then  $N \cup D$  is a Rainwater set for  $\ell_\infty$ . ( $N \cup D$  is pseudocompact).

### Example

A Valdivia compact  $X$  which is not Corson's compact contains a non compact  $Y$  which is a Rainwater set for  $C(X)$ .

Exists a countably compact  $Y \subsetneq X$  with  $\nu Y = \beta Y = X$   
 $Y$  -homeomorphic to a bounded closed subset of some  $\Sigma(\Gamma)$ - is  
non compact and Rainwater set of  $C^b(Y) = C(\beta Y) = C(X)$ .

# $G_\delta$ -density and Rainwater sets

## Proposition

*Let  $X$  be a Rainwater set for  $C^b(X) = C(X)$ . A subset  $Y \subset X$  is a Rainwater set for  $C(X)$  if and only if  $Y$  is  $G_\delta$ -dense in  $X$ .*

## Proof.

Suppose that  $Y$  is not  $G_\delta$ -dense in  $X$ .

Then there exists  $x_0 \in \bigcap_{n=1}^{\infty} U_n \downarrow$

-non void  $G_\delta$ -subset of  $X$  which that does not meet  $Y$ .

Let  $g_n \in C(X)$  with  $0 \leq g_n \leq 1$ ,  $g_n(X \setminus U_n) = \{0\}$  and  $g_n(x_0) = 1$ ,  $\forall n \in \mathbb{N}$ .

Clearly  $g_n \rightarrow 0$  in  $\sigma_Y$  and  $\delta_{x_0}(g_n) = 1$ ,  $\forall n \in \mathbb{N}$ .

Hence  $Y$  is not a Rainwater set for  $C(X)$ .

The converse is a particular case of the next Proposition. □

# $G_\delta$ -density and Rainwater sets

## Proposition

Let  $X'$  be a Rainwater set for  $C^b(X)$  and let  $Y$  be a  $G_\delta$ -dense in  $(X', \text{weak}^*|_{X'})$ . Then  $Y$  is a Rainwater set for  $C^b(X)$ .

Hence if  $vZ$  is homeomorphic to a Rainwater set for  $C^b(X)$ , then  $Z$  is also homeomorphic to a Rainwater set for  $C^b(X)$ .

## Proof.

Let  $\{f_n\}_{n=0}^\infty$  be a uniformly bounded sequence in  $C^b(X)$  such that  $f_n(y) \rightarrow f_0(y)$  for every  $Y$ .

By sequent. cont. Claim  $f_n(x) \rightarrow f_0(x)$ , for each  $x \in X'$ .

Then, as  $X'$  is Rainwater,  $f_n \rightarrow f_0$  in  $(C^b(X), \text{weak})$ .

Hence  $Y$  is a Rainwater set for  $C^b(X)$ .

Particular case: As  $Z$  is dense and  $C$ -embedded in  $vZ$ , then  $Z$  is  $G_\delta$ -dense in  $vZ$ . □

$Y \subset X$ ,  $Y$  Rainwater for  $C^b(X) \implies Y$  pseudocomp.?

### Example

There exists  $X$  that contains a non pseudocompact subset that it is Rainwater set for  $C^b(X)$ .

Proof. Let  $G$  pseudocomp. such that  $G \times G$  not pseudocomp.

If a bounded  $\{f_n\}_{n=0}^\infty \subset C^b(G \times vG)$  verifies that

$$\lim_{n \rightarrow \infty} f_n(t, s) = f_0(t, s), \forall (t, s) \in G \times G,$$

then  $\{f_n\}_{n=1}^\infty$  pointwise converges to  $f_0$  in  $G \times vG$

– if  $(t, s) \in G \times vG$ ,  $\exists s_t \in G : f_n(t, s) = f_n(t, s_t)$ ,  $n \in \mathbb{N}_0$ –.

$X = G \times vG$  pseudocompact  $\implies f_n \rightarrow f$  in  $(C(X), \text{weak})$ .

$G \times G$  (non-pseudocompact) is Rainwater for  $C(G \times vG)$ . □

# Outline

- 3 Rainwater sets and weak  $K$ -analyticity in  $C^b(X)$ 
  - Some equivalences
  - Applications



## Two lemmas

$X = T(\mathbb{N}^{\mathbb{N}})$  is  $K$ -analytic if  $T$  is u.s.c.c. valued (ordered).

### Lemma

$C^b(X)$  weakly  $K$ -analytic  $\implies X$  Rainwater set for  $C^b(X)$ .

### Proof.

$C^b(X) = C(\beta X) \implies \beta X$  Talagrand compact  $\implies \beta X$  F.-U.  
 $(y_n \in vX)_n \rightarrow y_0 \notin vX \implies |\beta\mathbb{N}| \leq |\mathbb{N}|$ !!!!. Hence  $\beta X = vX$ .  $\square$

### Lemma

$Y$  Rainwater for  $C^b(X) \implies Y$  separates functions of  $C^b(X)$ .

### Proof.

$f(y) = g(y) \implies \{f, g, f, g, \dots\}$  weak converges.  $\square$

# $K$ -analyticity in some $C(X)$

## Theorem

Let  $X$  be completely regular. The following are equivalent:

- ①  $X$  is pseudocompact and  $C_p(X)$  is  $K$ -analytic.
- ② There exists a Rainwater set  $Y$  for  $C^b(X)$  such that  $(C^b(X), \sigma_Y)$  is  $K$ -analytic and  $C_p(Y)$  is angelic.
- ③ There exists a Rainwater set  $Y$  for  $C^b(X)$  such that  $(C^b(X), \sigma_Y)$  is both  $K$ -analytic and angelic.
- ④  $C^b(X)$  is weakly  $K$ -analytic.

## Proof of $1 \Rightarrow 2$ and $2 \Rightarrow 3$ .

$1 \Rightarrow 2$  Let  $Y := X$  (pseudocompact)  $\Rightarrow C_p(Y)$  angelic.

$2 \Rightarrow 3$   $Y$  Rainwater  $\Rightarrow (C^b(X), \sigma_Y)$  embeds in  $C_p(Y)$ . □

# Weakly $K$ -analyticity in some $C^b(X)$

Proof:  $3(\exists \text{Rw } Y : (C^b(X), \sigma_Y) \text{ } K\text{-analytic angelic}) \implies 4(C^b(X) \text{ weak } K\text{-analytic}) \implies 1(X \text{ psd com } C_p(X) \text{ } K\text{-analytic}).$

$3 \implies 4.$  Let  $\{K_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  be an ordered  $K$ -analytic representation of  $(B_{C^b(X)}, \sigma_Y|_{B_{C^b(X)}})$ .

Each sequence  $\{f_n\}_{n=1}^\infty$  in  $K_\alpha$  contains  $\{f_{n_i}\}_{i=1}^\infty \rightarrow f$  in the angelic  $(K_\alpha, \sigma_Y|_{K_\alpha})$ .

$\|f_n\|_\infty \leq 1, \forall n \in \mathbb{N} \implies (Y \text{ is Rainwater}) f_{n_i} \rightarrow f$  in  $(K_\alpha, \text{weak})$ .

By angelicity (Eberlein-Šmulian!)  $\{K_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a  $K$ -analytic representation of  $(B_{C^b(X)}, \text{weak}|_{B_{C^b(X)}})$ .

$C^b(X) = \bigcup_{n=1}^\infty nB_{C^b(X)}$  is also weakly  $K$ -analytic.

$4 \implies 1.$  By Lemma  $X$  is pseudocompact and  $(C^b(X), \text{weak})$   $K$ -analytic  $\implies C_p(X)$   $K$ -analytic. □

# Another characterization of Talagrand compact sets

Corollary ( $X$  pseudocompact, recall  $\implies (C_p(X)$  angelic).)

$C_p(X)$   $K$ -analytic  $\iff (C(X), \text{weak})$   $K$ -analytic.

Theorem (Let  $X$  be compact and  $Y$   $G_\delta$ -dense in  $X$ .)

$X$  is Talagrand (i.e.,  $C_p(X)$   $K$ -anal) iff  $(C(X), \sigma_Y)$  is  $K$ -analytic.

Proof.

$C_p(X)$   $K$ -analytic  $\implies (C(X), \sigma_Y)$   $K$ -analytic.





( $\Leftarrow$ )  $M$  r.c.c.  $-(C(X), \sigma_Y) + Y$   $G_\delta$ -dense  $\implies M$  is r.c.c. in the angelic space  $C_p(X)$ .

$\overline{M}^{C_p(X)}$  is compact and F-U  $\implies \overline{M}^{\sigma(Y)}$  compact and F-U  
 $\implies (C(X), \sigma_Y)$  angelic.






As  $Y$  is  $G_\delta$ -dense in the compact  $X \implies Y$  Rainwater  $C(X)$ .

(Th 3  $\implies$  1)  $(C(X), \sigma_Y)$   $K$ -analytic  $\implies C_p(X)$   $K$ -analytic.  $\square$



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